

Free Application for Federal Student Aid 1998–99 School Year

Form Approved
OMB No. 1840-0110
App. Exp. 6/30/99



U.S. Department of Education
Student Financial Assistance Programs

How to complete this form

First, read this page of information.

Second, tear the form out of this booklet and put it beside these instructions.

Third, fill out the form step by step, referring to the instructions as you go along.

Fourth, sign the form, make a photocopy of it, and send us the original.

Other ways to apply

You must use one of the Federal applications to apply for aid from the Federal student aid programs listed below.

Renewal Application. If you were in college last year and meet certain conditions, you will receive a Renewal Application in the mail or from your school early in 1998.

Internet filing. If you have Internet access, you can file a FAFSA (or a Renewal Application, if you are eligible and still live at your 1997-98 address) at <http://www.fafsa.ed.gov>

FAFSA Express. You may also use FAFSA Express software that allows you to fill out your application on a computer with a modem. Check at your high school, college, public library, or Educational Opportunity Center for FAFSA Express, or download the FAFSA Express software from our Web page:

<http://www.ed.gov/offices/OPE/express.html>

Do not submit more than one application!

You can also use these applications to apply for most state and some private aid, although certain state and private aid programs may require an additional form. To find out more about which forms to use, contact your high school counselor, college financial aid office, or state scholarship and grant agency.

Information about student financial aid

Federal — “Federal student financial aid” means Federal grants (which you do not pay back), loans (which you do pay back), and work-study money (which you earn and do not pay back). The aid can help you pay for most kinds of education after high school. It is available if you are attending a college, a professional school, or a vocational or technical school. Federal student financial aid includes these programs:

- Federal Pell Grants (Pell Grants)
- Federal Supplemental Educational Opportunity Grants (FSEOG)
- Federal Subsidized and Unsubsidized Stafford Loans
- Stafford/Ford Federal Direct Subsidized and Unsubsidized Loans
- Federal Perkins Loans
- Federal Work-Study (FWS)
- Title VII and Public Health Act Programs

For more information about the Federal student aid programs and how to apply for them, visit our Web site at:

<http://www.ed.gov/offices/OPE/Students>

State and College Aid — Contact your state scholarship or grant agency or your college financial aid administrator to find out what types of aid you might be eligible for, and whether you need to complete any forms in addition to this form.

Deadlines — Deadline dates are different for Federal, state, and college aid. The Federal deadline is June 30, 1999. You should apply for aid as soon as possible, but not before January 1, 1998. For state deadlines, see the “Deadlines for State Student Aid” on page 10. For college aid, contact your college financial aid administrator.

Information about requirements

To be eligible, you must meet certain requirements. For example, you must:

- be a U.S. citizen or eligible noncitizen
- be registered with Selective Service (if required)
- attend a college that participates in the programs listed on the left
- be working toward a degree or certificate
- be making satisfactory academic progress
- not owe a refund on a Federal grant or be in default on a Federal educational loan,
- have “financial need” as determined in part by this form

In addition:

- Federal student loans must be repaid.
- Less-than-half-time students may be eligible for Federal Pell Grants and some other Federal student aid programs.
- Students who have received a bachelor's degree are not eligible for Federal Pell Grants or FSEOG, but may be eligible for other Federal student aid programs.
- Students attending two schools in the same enrollment period must inform both FAAs. Students can not receive Pell Grants at both schools.
- Conviction of drug distribution or possession may make a student ineligible.

If you (and your family) have unusual circumstances, such as tuition expenses at an elementary or secondary school, unusual medical or dental expenses not covered by insurance, a family member who recently became unemployed, or changes in income or assets that affect your eligibility for financial aid, complete this form and then check with your college financial aid office.

WARNING: You must fill out this form accurately. The information that you supply can be verified by your college, your state, or by the U.S. Department of Education.

You may be asked to provide U.S. income tax returns, the worksheets in this booklet, and other information. If you can't or don't provide these records to your college, you may not get Federal student aid. If you get Federal student aid based on incorrect information, you will have to pay it back; you may also have to pay fines and fees. If you purposely give false or misleading information on your application, you may be fined \$10,000, sent to prison, or both.

Instructions

The **WHITE** areas and the **GRAY** areas indicate which instructions are for which questions. You, the student, (and your spouse, if you are married), must complete the **WHITE** areas. Some students also need to complete the **GRAY** areas requesting information about their parents. The questions in Section C will tell you whether you need to complete both the **GRAY** and **WHITE** areas.


Read the instructions as you fill out this form. Mistakes will delay the processing of your application.

Some questions on the form are self-explanatory, and no additional instructions are provided. These are questions 9, 10, and 17.

Although other people (besides the student who is applying for aid) may help fill out this form, it is about the student. On this form, the words “you” and “your” always mean the student. The word “college” means a college, university, graduate or professional school, community college, vocational or technical school, or any other school beyond high school.

If you need more help, ask your high school guidance counselor or your college financial aid administrator to explain the instructions to you.

When you fill out this form—

- **You must use a pen with black ink or a #2 pencil.**
- **Fill in ovals completely, like this:  If you make a mistake, erase completely or use white-out. Don't X through an oval.**
- **Do not write comments or notes in the margins. They will be ignored and may interfere with processing. Print carefully!**
- Round off all figures to the nearest dollar. For 50 cents or more, round up; for 49 cents or less, round down.
- For dates, write in numbers that correspond to the month and year. Most dates have “19” preprinted. For November 1974, write in 11 19 74. Graduation dates might be after the year 2000. For April 2003, for example, write in 04 2003.
- The “school year” covered by this form is the school year from July 1, 1998 through June 30, 1999.
- **Don't include notes, tax forms, or letters except for special signature documents. Check with your financial aid administrator if you have unusual circumstances.**

Records You Will Need

Keep these records! You may need them again.

- Student's driver's license and social security card
- W-2 Forms and other records of money earned in 1997
- 1997 U.S. income tax return (IRS Form 1040, 1040A, or 1040EZ) for student, spouse (if married), and parents (if student is dependent)
If you have not completed your tax return, see page 5.
- Records of untaxed income, such as welfare, social security, AFDC or ADC, TANF, or veterans benefits
- Current bank statements
- Current mortgage information
- Business and farm records
- Records of stocks, bonds, and other investments
- Student's alien registration number, if you are not a U.S. citizen

Special Instructions. See page 9 if you (your spouse or parents) are a Native American; if you file a foreign tax return; or if you file a tax return according to the tax codes of Puerto Rico, Guam, American Samoa, the Virgin Islands, Marshall Islands, the Federated States of Micronesia, or Palau.

Section A: You (the student)

1–3. Use your proper name, not a nickname. Your social security number (SSN) and your name should match the number and name on your social security card. If there are differences, you should update your information with the Social Security Administration.

4–7. Write in your permanent mailing address. All mail will be sent to this address. Don't use the address of the financial aid office or any other office. Use the state/country abbreviations list below.

State/Country Abbreviations

AL Alabama	IA Iowa	NC North Carolina	WI Wisconsin
AK Alaska	KS Kansas	ND North Dakota	WY Wyoming
AS American Samoa	KY Kentucky	OH Ohio	Military:
AZ Arizona	LA Louisiana	OK Oklahoma	AA
AR Arkansas	ME Maine	OR Oregon	AE
CA California	MD Maryland	PA Pennsylvania	AP
CO Colorado	MA Massachusetts	PR Puerto Rico	CN Canada
CT Connecticut	MI Michigan	RI Rhode Island	FM Federated
DE Delaware	MN Minnesota	SC South Carolina	States of
DC District of Columbia	MS Mississippi	SD South Dakota	Micronesia
FL Florida	MO Missouri	TN Tennessee	MH Marshall
GA Georgia	MT Montana	TX Texas	Islands
GU Guam	NE Nebraska	UT Utah	MX Mexico
HI Hawaii	NV Nevada	VT Vermont	MP Northern
ID Idaho	NH New Hampshire	VI Virgin Islands	Mariana
IL Illinois	NJ New Jersey	VA Virginia	Islands
IN Indiana	NM New Mexico	WA Washington	PW Palau
	NY New York	WV West Virginia	

If your place of residence is not on the list above, leave the state abbreviation blank and write the name of your city and territory or country in the space for city.

8. You must write in your social security number (SSN) to be considered for Federal student aid. If you don't write your SSN, this form will be returned unprocessed. The Privacy Act statement on page 12 gives information about your protection and how your social security number can be used.

11. Write in the two-letter abbreviation for your current state/country of legal residence. Use the state/country abbreviations list above. Residency (domicile) is your true, fixed, and permanent home. If you moved into a state for the sole purpose of attending a college, **do not** count that state as your legal residence.

12. Write in the month and year you became a legal resident of the state you listed in question 11. If you've always lived in the state, you may use your date of birth as the date of legal residence.

13–14. Write in your driver's license number and the abbreviation of the state in which the license was issued (use the state/country abbreviation list above). If you have a driver's license, you must provide the license number if you previously received, or plan to apply for, a Federal student loan. If you do not have a driver's license, write “None” in the space for license number.

15–16. If you are a U.S. citizen (or U.S. national), fill in the first oval and go on to question 17. Fill in the second oval and write in your eight- or nine-digit Alien Registration Number if you are one of the following:

- U.S. permanent resident, and you have an Alien Registration Receipt Card (I-151 or I-551).
- Conditional permanent resident (I-151C).
- Other eligible noncitizen with an Arrival-Departure Record (I-94) from the U.S. Immigration and Naturalization Service showing any one of the following designations: (a) "Refugee," (b) "Asylum Granted," (c) "Indefinite Parole" and/or "Humanitarian Parole," (d) "Cuban-Haitian Entrant."

If you can not fill in the first or second oval, you **must** fill in the third oval. If you are in the U.S. on only an F1 or F2 student visa, only a J1 or J2 exchange visitor visa, or only a G series visa (pertaining to international organizations), you must fill in the third oval. **You may be eligible for some state or college aid.**

18. If you, the student, are currently married, separated, or widowed, write in the date you married, became separated, or were widowed. If you were never married, leave this question blank.

If your current marital status is "divorced," write in the date you separated or were divorced, whichever was earlier.

19. Answer "**No**" if you do not have a bachelor's degree from a college and you will not have one by July 1, 1998. Answer "**Yes**" if you already have a bachelor's degree or will have one by July 1, 1998. Also answer "**Yes**" if you have or will have a degree from a college in another country that is equal to a bachelor's degree.

20. Answer "**Yes**" if will have graduated from high school or earned a certificate or diploma through the General Education Development (GED) program before your first date of enrollment in college.

Answer "**No**" if you will not graduate from high school and will not receive a GED certificate.

Pennsylvania residents only. Answer "**No**" if you received a military GED, a foreign high school diploma, a home study diploma, or a correspondence school diploma.

21–22. These questions are for state scholarship purposes only and do not affect your eligibility for Federal student aid. For these questions only, "father" and "mother" mean your birth parents, or your adoptive parents, or legal guardian—not your foster parents or stepparents. Fill in one oval for your father's highest educational level or grade level completed, and one oval for your mother's highest educational level or grade level completed.

Section B: Your Plans

23–27. Fill in your expected enrollment status for the 1998–99 school year. If you are applying to more than one college, fill in the enrollment status and school terms that apply to the college you are most likely to attend. (See question 90.)

If you will be attending a nonterm or clock-hour institution, fill in your enrollment status and the term or terms that most closely match the time you will be enrolled.

28. Write in the two-digit number for your expected course of study, using the "Course of Study Code List" on this page. For example, if your course of study is bookkeeping, write in "04" for business management and administrative services. If your course of study is vocational/technical, such as mechanical or electrical repair, write in "25." If your course of study is not listed or you are undecided, write in "27."

Course of Study Code List

01 Agriculture	17 Mathematics (includes statistics)
02 Architecture	18 Nursing
03 Biological sciences (biology, zoology, etc.)	19 Personal and miscellaneous services (cosmetology, culinary arts, massage, etc.)
04 Business management and administrative services (mktg., mgmt., bkkp., acct., etc.)	20 Philosophy
05 Communications (journalism, advertising, etc.)	21 Physical sciences (chemistry, physics, geology, etc.)
06 Computer sciences	22 Social sciences and history (includes economics, geography, political science)
07 Education	23 Psychology
08 Engineering	24 Theological studies and religious vocations
09 English language/literature	25 Vocational/technical (construction, mechanical, transportation, etc.)
10 Fine and performing arts	26 Wildlife, forestry, or marine sciences
11 Foreign languages/literatures	27 Other/undecided
12 Health profession (except nursing)	
13 Home economics	
14 Law	
15 Liberal arts	
16 Library sciences	

29. Write in the one-digit code for your expected degree or certificate, using the "Degree/Certificate Code List" below. If your degree or certificate does not fit any of these categories, or if you are undecided, enter "8."

Degree/Certificate Code List

- 1 Certificate or diploma for completing an occupational, technical, or educational program (less than two-year program)
- 2 Certificate or diploma for completing an occupational, technical, or educational program (at least two-year program)
- 3 Associate degree (at least two-year degree)
- 4 1st bachelor's degree
- 5 2nd bachelor's degree
- 6 Teaching credential program (non-degree program)
- 7 Graduate or professional degree
- 8 Other/undecided

30. Write the date that you expect to receive your degree or certificate. For this question, you should write in all four digits for the year, for example: 06 1998 or 06 2003.

31. Fill in your grade level in college from July 1, 1998 through June 30, 1999. **If you are currently a senior in high school and/or will be a first-time entering freshman, fill in "1st year/never attended college."** "Grade level" does not mean the number of years you have attended college, but your grade level in regard to completing your degree/certificate. A student who is not enrolled full-time will require more years to reach the same grade level as a full-time student.

32–34. Answer "**Yes**" or "**No**" to indicate whether you are interested in student employment (for example, work-study programs) or a student loan. **Your parents**, not you, the student, would apply for the PLUS loan if they are interested in applying for a parent loan. Answering "**Yes**" does not obligate you or your parents to accept a loan or other student aid, nor does it guarantee that you will receive aid.

35. Answer **“Yes”** if you are (or were) in college and you plan to attend that same college in 1998–99. By “that same college” we mean the college you are attending now or the most recent college you have attended. If you are still in high school and are (or were) enrolled in college, leave this question blank. Also, leave this question blank if you have never previously attended college.

36. Write in the amount of veterans education benefits you, the student, expect to receive per month from July 1, 1998 through June 30, 1999. The benefits you should write in include, but are not limited to, these programs: Selective Reserve Pay (Montgomery GI Bill–Chapter 106), New GI Bill (Montgomery GI Bill–Chapter 30), Post-Vietnam Veterans Educational Assistance Program [VEAP] (Chapter 32), Vocational Rehabilitation (Chapter 31), REPS (Restored Entitlement Benefits for Survivors–Section 156), Educational Assistance Program (Chapter 107), and Dependents Educational Assistance Program (Chapter 35).

Don’t include Death Pension or Dependency & Indemnity Compensation (DIC).

37. Write in the number of months from July 1, 1998 through June 30, 1999 that you expect to receive veterans education benefits.

Section C: Student Status

Answer each of the questions numbered 38 through 43 with either **“Yes”** or **“No.”** A blank counts as **“No.”**

38. Fill in the correct oval. (See your answer to question 9.)

39. Answer **“Yes”** if you:

- have engaged in active service in the U.S. Army, Navy, Air Force, Marines, or Coast Guard, or were a cadet or midshipman at one of the service academies, and were released under a condition other than dishonorable; or
- are **not** a veteran **now** but will be one by June 30, 1999.

Answer **“No”** if you:

- never served in the U.S. Armed Forces,
- are only an ROTC student,
- are currently a cadet or midshipman at a service academy,
- are only a National Guard or Reserves enlistee and were not activated for duty, **or**
- are currently serving in the U.S. Armed Forces and will continue to serve through June 30, 1999.

40. Answer **“Yes”** if you will be enrolled in a graduate or professional program (a course of study beyond a bachelor's degree) in the first term of 1998-99. If your graduate status will change during the school year, see your financial aid administrator.

41. Answer **“Yes”** if you are legally married **as of today**. Also, answer **“Yes”** if you are separated. “Married” does not mean living together unless your state recognizes your relationship as a common law marriage.

42. Answer **“Yes”** if (1) you are currently a ward of the court or were a ward of the court until age 18, or (2) both your parents are dead **and** you don’t have an adoptive parent or legal guardian. For a definition of “legal guardian,” see page 9.

43. Answer **“Yes”** if you have any children who get more than half of their support from you. Also answer **“Yes”** if other people (not your spouse) live with you and get more than half of their support from you and will continue to get that support during the 1998–99 school year.

Important Instructions for Sections D, E, and F

The question numbers on this form correspond with the information that will be printed on your Student Aid Report (SAR).

If you answered **“Yes”** to any of the questions in Section C, you (and your spouse if you are married) must fill out the **STUDENT (& SPOUSE)** areas (**WHITE** areas). Questions that you, the student (and your spouse), must complete are numbered 1–45, 51–62, and 90–108; in some cases, you must also complete questions 75–81 about your (and your spouse's) assets.

If you answered **“No”** to every one of the questions in Section C, then you must fill out the **WHITE** areas (about yourself) and the **GRAY** areas about your parents. Questions that you and your parents must complete are numbered 1–43, 46–74, and 90–108; in some cases you must also complete questions 75–89 about your and your parents’ assets.

ATTENTION: GRADUATE HEALTH PROFESSION STUDENTS

If you are applying for Federal student aid from graduate programs authorized under Title VII of the **Public Health Service Act**, you must give information about your parents, even if you answered **“Yes”** to one of the questions in Section C. If you are unsure about the requirements, check with the financial aid administrator at the college you plan to attend. If your college requires parental information, complete the **GRAY** and **WHITE** areas and make sure that at least one parent signs this form.

Section D: Household Information

Student (& Spouse) Household Information - WHITE Area

Fill out this section with information about yourself (and your spouse). If you are divorced, separated, or widowed, don’t include information about your spouse.

44. Write in the number of people in your household that you will *support* between July 1, 1998 and June 30, 1999. Include yourself and your spouse. Include your children if they get more than half their *support* from you. Include other people only if they meet the following criteria: 1) they now live with you, **and** 2) they now get more than half their *support* from you **and** they will continue to get this support between July 1, 1998 and June 30, 1999. (*Support includes money, gifts, loans, housing, food, clothes, car, medical and dental care, payment of college costs, etc.*)

45. Write in the number of people from question 44, including yourself, who will be college students between July 1, 1998 and June 30, 1999. Always **include yourself**, even if you will be enrolled for less than half-time. Your spouse and other family members may be counted as college students only if they are enrolling (or are accepted for enrollment) for at least 6 credit hours in at least one term, or at least 12 clock hours per week, even if they do not complete a term. To be counted here, a college student must be working towards a degree or certificate leading to a recognized education credential at a college that is eligible to participate in any of the Federal student aid programs.

Parent(s) Household Information - GRAY Areas

Read the descriptions that follow. Fill in all the ovals that apply to you and follow the instructions.

☐ **Your parents are both living and married to each other.** Answer the questions on the rest of this form about them.

☐ **You have a legal guardian.** Answer the questions on the rest of this form about your legal guardian. For a definition of "legal guardian" see page 9.

☐ **Your parents have divorced or separated.** Answer the questions on the rest of the form about the parent you lived with most in the last 12 months. If you did not live with one parent more than with the other, answer in terms of the parent who provided the most *financial* support during the last 12 months, or during the most recent calendar year that you actually were supported by a parent. (*Support includes money, gifts, loans, housing, food, clothes, car, medical and dental care, payment of college costs, etc.*)

☐ **Your parent is widowed or single.** Answer the questions on the rest of this form about that parent.

☐ **You have a stepparent.** If the parent that you counted above is married or remarried **as of today**, you must include information about your stepparent (even if they were not married in 1997).

If you are giving information about your stepparent, note that whenever we say "parents" on the rest of this form, we also mean your stepparent.

46. Show the current marital status of the people that you give information about on this form. For example, if you give information about your mother and stepfather, fill in the oval that says "married," because your mother and stepfather are married.

47. Write in the two-letter abbreviation for your parent(s)' current state/country of legal residence. See the list of abbreviations on page 2. Residency (domicile) is your parents' true, fixed, and permanent home. If your parents are residing in a state for the sole purpose of attending a college, don't count that state as their legal residence. If your parents are separated or divorced, use the state/country of legal residence for the parent whose information is reported on this form.

48. Write in the date your parent(s) became a legal resident(s) of the state you listed in question 47. If your parents became legal residents of the state at different times, use the date for the parent who has been a resident the longest. If your parents are divorced or separated, use the date for the parent whose information is reported on this form.

49. Write in the number of people in your household that your parents will *support* between July 1, 1998 and June 30, 1999. Include your parents and yourself. Include your parents' other children if they get more than half their *support* from your parents, or if they would be required to provide parental information when applying for Title IV Federal student aid in 1998-99. Include other people only if they now live with and get more than half their *support* from your parents and will continue to get this

support between July 1, 1998 and June 30, 1999.

(*Support includes money, gifts, loans, housing, food, clothes, car, medical and dental care, payment of college costs, etc.*)

50. Write in the number of people from question 49 who will be college students between July 1, 1998 and June 30, 1999. Always **include yourself**, even if you will be enrolled for less than half-time. Your parents and other family members may be counted as college students only if they are enrolling (or are accepted for enrollment) for at least 6 credit hours in at least one term, or at least 12 clock hours per week, even if they do not complete a term. To be counted here, a college student must be working towards a degree or certificate leading to a recognized education credential at a college that is eligible to participate in any of the Federal student aid programs.

Section E: 1997 Income, Earnings, and Benefits

Tax filing information for Section E

Will not and are not required to file a tax return. Even if you, your spouse, and/or your parents are not required to file an income tax return for 1997, you will need to know earnings for the year. Use your W-2 Forms and other records to answer the questions here.

Tax return not completed - how estimated taxes are handled. We recommend that you complete your income tax return before filling out this application. However, it may be necessary to estimate tax information to meet state and college deadlines. When your application is compared with the official 1997 IRS Form 1040, 1040A, or 1040EZ that you and your family file, the financial information must agree. If there are differences, you will need to correct the information and send it back to the U.S. Department of Education. This could mean a delay in getting your student financial aid.

IRS Form 1040 filers. In certain instances, you, your spouse, or your parents may have filed a 1997 IRS Form 1040, even though you were **not required** to file a Form 1040. Tax preparers often file a Form 1040 or an electronic 1040 on behalf of the tax filer, even though that person's income and tax filing circumstances would allow him or her to file a 1040A or 1040EZ. If you are sure that you, your spouse, or your parents are not required to file a Form 1040, then fill in either oval "A" or oval "C" in question 51 and/or question 63 to indicate eligibility to file a 1040A or 1040EZ.

IRS 1040TEL filers. If you filed or will file a 1040TEL (tax filing by telephone), fill in the oval for either the completed or estimated 1997 IRS Form 1040EZ in questions 51 and/or 63.

Puerto Rico Tax Filers, Foreign Tax Return Filers, and Native Americans, see page 9.

In Section E, if the instructions tell you to **skip** a question or if it does not apply to you, leave it blank. If your answer to a question is "zero," put a zero in the answer space. Do not use dashes. For example:

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**Student (& Spouse) 1997 Income, Earnings, and Benefits
WHITE area**

51. Fill in only one oval to indicate which IRS form was filed or will be filed for 1997.

If you are currently divorced, separated, or widowed, but you filed (or will file) a joint tax return for 1997, give only your portion of the exemptions, income, and taxes paid asked for in questions 52 through 61.

If you are married and you and your spouse filed (or will file) separate tax returns for 1997, be sure to include both your and your spouse's exemptions and income in questions 52 through 61, even if you were not married in 1997.

Use the tax return to fill out questions 52 through 61.

52. For exemptions, write in the number from Form 1040-line 6d or 1040A-line 6d. If you (and your spouse) used the 1040EZ and answered "**Yes**" on Form 1040EZ-line 5, use the 1040EZ worksheet (line F) to determine the number of exemptions (\$2650 equals 1 exemption). If you answered "**No**," enter "01" if you are single or "02" if you are married.

53. For Adjusted Gross Income (AGI), write in the amount from IRS Form 1040-line 32, Form 1040A-line 16, or Form 1040EZ-line 4.

Use Worksheet #1 on page 11 to answer question 53 if you do not have a 1997 tax form but will be filing one.

54. For U.S. income tax paid, write in the amount from IRS Form 1040-line 46, Form 1040A-line 25, or Form 1040EZ-line 10. Make sure this amount doesn't include any FICA, self-employment, or Other Taxes from Form 1040. **Don't** copy the amount of "Federal income tax withheld" from a W-2 Form.

55–56. For income earned from work:

If you filed or will file a tax return, include the "wages, salaries, tips, etc." from your (and your spouse's) Form 1040-line 7, 1040A-line 7, or 1040EZ-line 1. If you (and your spouse) filed a joint return, report your and your spouse's earnings separately. If you (and your spouse) own a business or farm, also add in the numbers from Form 1040-lines 12 and 18.

If you are not a tax filer, include your (and your spouse's) earnings from work in 1997. Add up the earnings from your (and your spouse's) W-2 Forms and any other earnings from work that are not included on the W-2 Forms.

57–61. For untaxed income and benefits, don't include benefits reported in questions 69 through 73 of the Parent(s) column.

57. For Earned Income Credit, report the total amount of your (and your spouse's) Earned Income Credit from Form 1040-line 56a, Form 1040A-line 29c, or Form 1040EZ-line 8a.

58. For untaxed social security benefits, write in the amount of **untaxed** social security benefits (including Supplemental Security Income) that you (and your spouse) got in 1997. Don't include any benefits reported in question 53. Don't report monthly amounts; write in the total for 1997. Be sure to include the amounts that you got for your children. Do not include amounts your parents received for you, which should be reported in questions 70 through 73.

59. For Aid to Families with Dependent Children (AFDC/ADC) or Temporary Assistance for Needy Families (TANF), report the total amount received for 1997. Do not report monthly amounts. The AFDC/ADC programs were replaced in July 1997 by state-administered plans for temporary assistance called TANF. If you received amounts for both AFDC and TANF in 1997, report the total amount you received.

60. For child support received for all children, don't report monthly amounts; write in the total received for 1997.

61. For other untaxed income and benefits, use Worksheet #2 on page 11. Add up your (and your spouse's) other untaxed income and benefits for 1997. Be sure to include your (and your spouse's) deductible IRA/Keogh payments, if any.

62. For 1997 Amount from Line 5, Worksheet #3, enter the total amount on Line 5 from Worksheet #3 on page 12. Write in "0" if the worksheet items do not apply to you (and your spouse).

**Parent(s) 1997 Income, Earnings, and Benefits -
GRAY area**

63. 1997 U.S. income tax form. Fill in only one oval to indicate which IRS form was filed or will be filed for 1997.

If you are giving information for only one parent and that parent filed (or will file) a joint tax return for 1997, give only that parent's portion of the exemptions, income, and taxes paid asked for in questions 64 through 73.

If your parents are married and they filed (or will file) separate tax returns for 1997, be sure to include both parents' exemptions and income in questions 64 through 73.

Use the tax return to fill out questions 64 through 73.

64. For exemptions, write in the number from Form 1040-line 6d or 1040A-line 6d. If your parent(s) used the 1040EZ and answered "**Yes**" on Form 1040EZ-line 5, use the 1040EZ worksheet (line F) to determine the number of exemptions (\$2650 equals 1 exemption). If your parent(s) answered "**No**," enter "01" if your parent is single or "02" if your parents are married.

65. For Adjusted Gross Income (AGI), write in the amount from IRS Form 1040-line 32, Form 1040A-line 16, or Form 1040EZ-line 4.

Use Worksheet #1 on page 11 to answer question 65 if your parents do not have a 1997 tax form but will be filing one.

66. For U.S. income tax paid, write in the amount from IRS Form 1040-line 46, Form 1040A-line 25, or Form 1040EZ-line 10. Make sure this amount does not include any FICA, self-employment, or Other Taxes from Form 1040. **Don't** copy the amount of "Federal income tax withheld" from a W-2 Form.

67–68. For Income earned from work:

If your parent(s) filed or will file a tax return, include the "wages, salaries, tips, etc." from your parents' Form 1040-line 7, 1040A-line 7, or 1040EZ-line 1. If your

parents filed a joint return, report your father's and mother's earnings separately. If your parents own a business or farm, also add in the numbers from Form 1040-lines 12 and 18.

If your parent(s) are not tax filers, write in your parents' earnings from work in 1997. Add up the earnings from your parents' W-2 Forms and any other earnings from work that are not included on the W-2 Forms.

69. For Earned Income Credit, report the total amount of your parents' Earned Income Credit from Form 1040—line 56a, Form 1040A—line 29c, or Form 1040EZ—line 8a.

70. For untaxed social security benefits, write in the amount of **untaxed** social security benefits (including Supplemental Security Income) that your parents got in 1997. Don't include any benefits reported in question 65. Do not report monthly amounts; write in the total for 1997. Be sure to include the amounts that your parents got for you and their other children.

71. For Aid to Families with Dependent Children (AFDC/ADC) or Temporary Assistance for Needy Families (TANF), report the total amount received for 1997. Do not report monthly amounts. The AFDC/ADC programs were replaced in July 1997 by state-administered plans for temporary assistance called TANF. If you received amounts for both AFDC and TANF in 1997, report the total amount you received.

72. For child support received for all children, include support received for the student. Don't report monthly amounts; write in the total received for 1997.

73. For other untaxed income and benefits, use Worksheet #2 on page 11. Add up your parents' other untaxed income and benefits for 1997. Be sure to include your parents' deductible IRA/Keogh payments, if any.

74. For 1997 Amount from Line 5, Worksheet #3, enter the total amount from Line 5 on Worksheet #3 on page 12. Write in "0" if the worksheet items do not apply to your parents.

ATTENTION: COMPLETE WORKSHEET A IF YOU ARE FILLING OUT THE WHITE AREAS OR WORKSHEET B IF YOU ARE FILLING OUT THE GRAY AND WHITE AREAS TO SEE IF YOU NEED TO FILL OUT SECTION F.

WORKSHEET A—Student (& Spouse)

- 1) Is the amount in question 53 \$50,000 or more? Yes ☐ No ☐
- 2) Is the total of question 55 plus 56 \$50,000 or more? Yes ☐ No ☐
- 3) Are you (or your husband or wife) **required** to file an IRS form 1040? (**Required** means not eligible to file a 1040A or 1040EZ.) Yes ☐ No ☐

If you answered "Yes" to any one of these questions, you must complete Section F.

If you answered "No" to all the questions, you may skip Section F. Go on to Section G.

WORKSHEET B—STUDENT AND PARENTS

- 1) Is the amount in question 65 \$50,000 or more? Yes ☐ No ☐
- 2) Is the total of question 67 plus 68 \$50,000 or more? Yes ☐ No ☐
- 3) Are your parents **required** to file an IRS 1040? (**Required** means they were not eligible to file a 1040A or 1040EZ.) Yes ☐ No ☐
- 4) Are you, the student, **required** to file an IRS 1040? (**Required** means you were not eligible to file a 1040A or 1040EZ.) Yes ☐ No ☐

If you answered "Yes" to any of the questions, you must complete Section F.

If you answered "No" to all the questions, you may skip Section F. Go on to Section G.

Section F: Asset Information

Student (& Spouse) Asset Information - WHITE area

You must give information about your (and your spouse's) assets in Section F. If you are divorced or separated and you and your spouse have jointly owned assets, give only your portion of the assets and debts. Be sure to give information about assets held in trust for you (and your spouse).

If you (and your spouse) have assets owned jointly with someone else—such as a business or farm—give only your (and your spouse's) portion of the assets and debts.

In Section F, **do not include**:

- a home, if it is the principal place of residence,
- a family farm, as defined in question 80,
- personal or consumer loans, or any debts that are not related to the assets listed,
- the value of life insurance policies and retirement plans (pension funds, annuities, IRAs, Keogh Plans, etc.), or
- student financial aid.

75. For cash, savings, and checking accounts, include the current balance of checking or savings accounts unless you (and your spouse) do not have access to the money because the state declared a bank emergency due to the insolvency of a private deposit insurance fund.

76. For other real estate and investments value, if you (and/or your spouse) own other real estate or have investments, write in how much they are worth **today**. Other real estate includes rental property, land, and second or summer homes. Include the value of portions of multifamily dwellings that are **not** the family's principal residence. Investments include trust funds, money market funds, mutual funds, certificates of deposit, stocks, bonds, other securities, installment and land sale contracts (*including mortgages held*), commodities, precious and strategic metals, etc. Do not include your home.

77. For other real estate and investments debt, write in how much you (and/or your spouse) owe on other real estate and investments.

78. For business value, if you (and/or your spouse) own a business, write in how much the business is worth today. Include the market value of land, buildings, machinery, equipment, inventories, etc.

79. For business debt, write in what you (and/or your spouse) owe on the business. Include only the present mortgage and related debts for which the business was used as collateral.

80. For investment farm value, if you (and/or your spouse) own an investment farm, write in the value **today**. Include the market value of land, buildings, machinery, equipment, live-stock, inventories, etc. Do not include a family farm if it is your principal place of residence and you claimed on Schedule F of the tax return that you “materially participated in the farm’s operation.”

81. For investment farm debt, write in what you (and/or your spouse) owe on the investment farm. Include only the present mortgage and related debts for which the farm was used as collateral.

86. For business value, if your parents own a business, write in how much the business is worth **today**. Include the market value of land, buildings, machinery, equipment, inventories, etc.

87. For business debt, write in what your parents owe on the business. Include only the present mortgage and related debts for which the business was used as collateral.

88. For investment farm value, if your parents own an investment farm, write in the value of the farm **today**. Include the market value of land, buildings, machinery, equipment, live-stock, inventories, etc. Do not include a family farm if it is the principal place of residence and your parents claimed on Schedule F of the tax return that they “materially participated in the farm’s operation.”

89. For investment farm debt, write in what your parents owe on the investment farm. Include only the present mortgage and related debts for which the farm was used as collateral.

Parent(s) Asset Information - GRAY area

You must give information about your parents’ assets in Section F. If you are giving information for only one parent and that parent has jointly owned assets, give only that parent’s portion of the assets and debts.

If your parents have assets owned jointly with someone else—such as a business or farm—give only your parents’ portion of the assets and debts.

In Section F, **do not include**:

- a home, if it is the principal place of residence,
- a family farm, as defined in question 88,
- personal or consumer loans, or any debts that are not related to the assets listed,
- the value of life insurance policies and retirement plans (pension funds, annuities, IRAs, Keogh Plans, etc.), or
- student financial aid.

82. Write in the age of the older parent for whom you are giving information on this form. Refer to page 5 to see whose information you should be reporting on this form.

83. For cash, savings, and checking accounts, include the current balance of checking or savings accounts unless your parents do not have access to the money because the state declared a bank emergency due to the insolvency of a private deposit insurance fund.

84. For other real estate and investments value, if your parents own other real estate or have investments, write in how much they are worth **today**. Other real estate includes rental property, land, and second or summer homes. Include the value of portions of multifamily dwellings that are **not** the family’s principal residence. Investments include trust funds, money market funds, mutual funds, certificates of deposit, stocks, bonds, other securities, installment and land sale contracts (*including mortgages held*), commodities, precious and strategic metals, etc. Do not include your parents’ home.

85. For other real estate and investments debt, write in how much your parents owe on other real estate and investments.

Section G: Releases and Signatures

90–101. By answering questions 90 through 101, and signing this form, you give permission to the U.S. Department of Education to provide information from your application to the college(s) that you list in Section G. You also agree that such information is deemed to incorporate by reference the Statement of Educational Purpose on page 4 of the application. Colleges that receive information electronically or on tape or cartridge will get your application information automatically. Colleges use this information to help estimate the amount of your financial aid package. Do not write in the name of a college if you don’t want it to receive your application information. You may leave questions 90–101 blank if you do not know which colleges you are interested in, but if you do, we will not send your application information to any colleges.

Your application may be processed faster if you write in the Title IV School Code only. Check with your financial aid office, your high school counselor, or your public library for the Title IV School Code list provided by the U.S. Department of Education. You can also find the code list on the Department’s Web page at <http://www.ed.gov/offices/OPE/Students>. The Title IV School Code is always a six-character code that begins with “0” (zero), “G,” “B,” or “E.”

If you cannot get the Title IV Code, write in the complete **name, address, city, and state** of the college(s) that you are interested in attending. **Write clearly.** It is very important to write the correct city and state or Title IV School Code so that your college can be identified. If a college is a branch campus, include the complete name of the branch. Also, indicate if it is a specific part of a university, such as the law school.

For each college, select the housing code number that best describes the type of housing you expect to live in if you attend that particular college. Write the housing code number on the line for that college. In question 90, write in the name and address of the college that you are **most likely** to attend. (**Note: States often consider the college you write on the first line in determining their awards for state aid.**) In question 91, write in your expected housing code for that college. Continue in the same way for question 92 if you are applying to more than one college. Do not write more than one college and housing code on each line.

To have information sent to more than six colleges. About four weeks after mailing your application, you will receive a Student Aid Report (SAR). You can take or send a photocopy of your SAR to a new college. You can also write a letter to a FAFSA processor requesting that information be sent to new colleges. Or, after you receive your SAR, you can write in new college names/addresses or Title IV School Codes on the SAR, and return it to the address printed on the SAR.

102. We will provide your name, address, social security number, date of birth, expected family contribution number, student status, and state of legal residence to the financial aid agency(ies) in your state of legal residence even if you answer **“No”** in question 102. (See Privacy Act Information, page 12.) Some state agencies use this information to help decide whether you will get a state award and to check if you reported correct information on your state student aid application. Also, they may use it to help in the processing of your application for a Federal student loan, if you are eligible. **If you answer “No,” any state aid you might be eligible for may be denied or delayed,** but it will have no effect on your Federal student aid.

By allowing us to send information to your state financial aid agency, you are giving permission to the verification of any statement made on this form. Also, you are giving permission to the state financial aid agency to which information is being sent to obtain income tax information for all persons required to report income and for all periods reported on this form.

Maryland residents only. You are giving permission to have your information sent to your state senator and delegates so that you may be considered for scholarships under their programs, unless you answer **“No.”**

Be sure to read **INFORMATION ON THE PRIVACY ACT AND USE OF YOUR SOCIAL SECURITY NUMBER** on page 12.

103. Selective Service Registration. In order to receive Federal student aid, you must be registered with Selective Service if you are a male who is at least 18 years old and born after December 31, 1959. Answer **“Yes”** only if you are a male and are 18 through 25 years of age, have not yet registered with Selective Service, **and** give Selective Service permission to register you. If you believe that you are not required to be registered, call the Selective Service office at 1-847-688-6888 for information regarding exemptions.

104–105. Read and sign. You must sign and date this form. If you don’t, it will be returned unprocessed. If you are married, your spouse should also sign this form. If you filled out the **GRAY** and the **WHITE** areas, at least one of your parents must sign this form. **Everyone signing this form is certifying that all information on the form is correct** and that everyone is willing to provide documents to prove that the information is correct. Such documents may include U.S. or state tax returns and the worksheets in this instruction book. Do not sign, date, or mail your FAFSA before January 1, 1998. If you do, the form will be returned unprocessed.

Section H: Preparer’s Use Only

106–108. Preparers. If someone other than you, your spouse, or parents completed this form on your behalf, especially if the person charged a fee for assisting you, the law requires the preparer to fill in Section H. A “preparer” is anyone who wrote the answers or told you what to write. The preparer must write in his or her name and the company name and address (or home

address, if self-employed). Either the preparer’s social security number, or the company’s Employer Identification Number (EIN) as assigned by the Internal Revenue Service (IRS), is also required. The preparer must sign and date the form, certifying that the information is correct and complete. An original signature is required, although the preparer may use a pre-printed address label or a rubber stamp to fill in address information.

Sending In Your Form

Double-check your form to make sure it is complete and accurate. Be sure it has the necessary signatures. Mail your form to: Federal Student Aid Programs, P.O. Box 4001, Mt. Vernon, IL 62864-8601. Don’t send money; this is a free application. Don’t put letters, tax forms, worksheets, or any extra materials in the envelope. **They will be destroyed.**

Special Instructions/Definitions

Non-U.S. Tax Returns. If you, your spouse, and/or your parents filed (or will file) a 1997 tax return according to the tax codes of Puerto Rico, Guam, American Samoa, the Virgin Islands, Marshall Islands, the Federated States of Micronesia, or Palau:

- In question 51 and/or question 63, fill in oval “A” if a tax return has been filed; oval “C” if a tax return will be filed; or oval “E” if you, your spouse, and/or your parents were not required to file according to the tax code of your country.
- Use the information from that tax return to fill out this form.

Foreign Tax Return. If you and your spouse, or your parents, **won’t** be filing a U.S. income tax return in 1997, follow the instructions below:

- In question 51 and/or question 63, fill in oval “B” if a foreign tax return has been filed, or oval “D” if a foreign tax return will be filed.
- Use the information from that tax return to fill out this form.
- Convert all figures to U.S. dollars, using the exchange rate that is in effect **today**, and
- Fill out Section F, Asset Information.

Legal Guardian. A legal guardian is a person who is appointed by a court to be your legal guardian in a legal relationship that will continue after June 30, 1999, **and** who is directed by a court to support you with his or her own financial resources.

Native American. If you are a Native American, report the amount of income and assets over \$2,000 per individual payment that you (and your spouse) or your parents received in 1997 from the Per Capita Act or the Distribution of Judgment Funds Act. If \$2,000 or less per individual payment was received from either of these Acts, don’t report it. Don’t report funds received as an award under the Alaska Native Claims Settlement Act or the Maine Indian Claims Settlement Act. Also, don’t report any assets received from the Alaska Native Claims Settlement Act.

Citizens of the Marshall Islands, Federated States of Micronesia, and Palau. If you do not have a social security number (SSN), contact your financial aid administrator before you mail in this form. Also ask your financial aid administrator how to answer question 15 about your citizenship status.

What Happens Next?

What happens after I mail in my form? Within four weeks, the U.S. Department of Education will send you a **Student Aid Report (SAR)**. On the SAR will be either a request for further information or a number called an **Expected Family Contribution (EFC)**. We use a formula established by law to figure the EFC from the information you give us. Your college uses the EFC to determine the amount of your Federal grant, loan, or work-study award, if you are eligible.

Your college or the U.S. Department of Education may ask you to prove that the information you gave on your application is true. Be sure to make a copy of your application form before mailing it.

What if I don't get a SAR or I need another copy of my SAR? If you do not get a SAR within four weeks, call this Federal student aid information number, **1-319-337-5665**. You can find out if your application has been processed, or you may request duplicate copies of your SAR.

What if my situation changes? Some questions ask you to make projections, for example, about your family status for the coming year. If your answers to these questions change, wait until you receive your SAR, then check with your financial aid administrator. The income and expense information reported on this form must be accurate for the past year (1997), not for the coming year. If your financial situation changes, check with your financial aid administrator.

How long does it take to complete this application? According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 1840-0110. The time required to complete this information collection is estimated to average from one hour to one hour and thirty minutes, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Washington, DC 20202-4651. If you have comments or concerns regarding the status of your individual submission of this form, write directly to: Application and Pell Processing Systems Division, U.S. Department of Education, 600 Independence Avenue, S.W., Washington, DC 20202-5453.

Deadlines for State Student Aid

AL	Check with your financial aid administrator	IN	For priority consideration - March 2, 1998 - date postmarked ‡	MS	Determined by school		Non-1997-98 State grant recipients enrolling in degree programs - May 1, 1998
AK	Check with your financial aid administrator SSIG - May 31, 1998	IA	April 21, 1998 - date received	MO	April 1, 1998 - date received		All other applicants - August 1, 1998
*AS	Check with your financial aid administrator	*KS	For priority consideration - April 1, 1998 - date processed	MT	For large schools - March 1, 1998 For small schools - April 1, 1998		date received
AZ	June 30, 1999 - date received	KY	For priority consideration - March 15, 1998 - date received	*NE	Determined by school	PR	May 2, 1999 - date application signed
AR	April 15, 1998 - date received			*NV	Determined by school	RI	March 1, 1998 - date received
*CA	March 2, 1998 - date postmarked	LA	For priority consideration - June 1, 1998 - date received	NH	May 1, 1998 - date received	*SC	June 30, 1998 - date received
CO	Determined by school			NJ	1997-98 Tuition Aid Grant Recipients - June 1, 1998	*SD	Determined by school
*CT	Check with your financial aid administrator		Check with your financial aid administrator		All other applicants - October 1, 1998 for fall & spring terms	TN	May 1, 1998 - date processed
DE	April 15, 1998 - date received	ME	May 1, 1998 - date received		March 1, 1999 for spring term only	*TX	Determined by school
*DC	June 24, 1998 - date received by state	MD	March 1, 1998 - date postmarked		date received	UT	Determined by school
FL	May 15, 1998 - date processed	MA	For priority consideration - May 1, 1998 date received	*NM	Check with your financial aid administrator	*VT	None
GA	Determined by school			*NY	May 1, 1999 - date postmarked	*VI	Check with your financial aid administrator
*GU	Check with your financial aid administrator	MI	HS Seniors - February 21, 1998	NC	March 15, 1998 - date received	*VA	Determined by school
HI	March 1, 1998		College students - March 21, 1998	ND	April 15, 1998 - date processed	WA	Determined by school
ID	Determined by school		Date received	OH	October 1, 1998 date received	*WV	March 1, 1998 - date received
IL	For first-time applicants - October 1, 1998 For continuing applicants - June 1, 1998 date processed	MN	June 30, 1999 - date received	OK	April 30, 1998 - date received	WI	None - contingent on funding
				OR	None - contingent on funding - date received	*WY	Determined by school
				*PA	All 1997-98 State grant recipients - May 1, 1998	*FM	Check with your financial aid administrator
						*MH	Check with your financial aid administrator
						*MP	Check with your financial aid administrator
						*PW	Check with your financial aid administrator

* Additional form may be required. Contact your financial aid administrator or your state agency.

‡ Applicants encouraged to obtain proof of mailing

Note: Date received means "at the address on this form."

WORKSHEET #1 *(Keep this worksheet. Your college may ask to see it.)*

(Note: Use this worksheet to estimate your 1997 Adjusted Gross Income [AGI] if you do not have a tax return.)

Wages, salaries, tips, etc.

For question 53
Student/Spouse

For question 65
Parent(s)

\$ _____ .00

\$ _____ .00

Interest income

+ _____ .00

+ _____ .00

Dividends

+ _____ .00

+ _____ .00

Other taxable income (alimony received, business and farm income, capital gains, pensions, annuities, rents, unemployment compensation, Social Security, Railroad Retirement, and all other taxable income)

+ _____ .00

+ _____ .00

Add all of the numbers in the column

= _____ .00

= _____ .00

Subtract IRS-allowable adjustments to income (payments to IRA and Keogh Plans, one half of self-employment tax, self-employed health insurance deduction, interest penalty on early withdrawal of savings, and alimony paid)

- _____ .00

- _____ .00

TOTAL—Write this amount in question 53 and/or 65:

\$ _____ .00

\$ _____ .00

WORKSHEET #2 *(Keep this worksheet. Your college may ask to see it.)***Amounts from IRS tax forms**

For question 61
Student/Spouse

For question 73
Parent(s)

Deductible IRA and/or Keogh payments from Form 1040-total of lines 23 and 28 **or** 1040A-line 15

\$ _____ .00

\$ _____ .00

Untaxed portions of pensions from Form 1040-line 15a minus 15b and 16a minus 16b **or** 1040A-line 10a minus 10b and 11a minus 11b (excluding "rollovers")

+ _____ .00

+ _____ .00

Credit for Federal tax on special fuels from IRS Form 4136-line 9:
Total Income Tax Credit (non-farmers only)

+ _____ .00

+ _____ .00

Foreign income exclusion from Form 2555-line 43 or Form 2555EZ-line 18

+ _____ .00

+ _____ .00

Tax exempt interest income from Form 1040-line 8b **or** 1040A-line 8b

+ _____ .00

+ _____ .00

Other Untaxed Income and Benefits

Payments to tax-deferred pension and savings plans (paid directly or withheld from earnings) as reported on the W-2 Form. Include untaxed portions of 401(k) and 403(b) plans.

+ _____ .00

+ _____ .00

Welfare benefits (except AFDC/ADC or TANF, which you should have reported in FAFSA question 59 or 71)

+ _____ .00

+ _____ .00

Workers' Compensation

+ _____ .00

+ _____ .00

Veterans noneducation benefits such as Death Pension, Dependency & Indemnity Compensation (DIC), etc.

+ _____ .00

+ _____ .00

Housing, food, and other living allowances (excluding rent subsidies for low-income housing) paid to members of the military, clergy, and others (including cash payments and cash value of benefits)

+ _____ .00

+ _____ .00

Cash or any money paid on your behalf, not reported elsewhere on this form

+ _____ .00

XXXXXXXXXX

Any other untaxed income and benefits, such as Black Lung Benefits, Refugee Assistance, untaxed portions of Railroad Retirement Benefits, VA Educational Work-Study allowances, or wages not subject to taxation by any government.

+ _____ .00

+ _____ .00

TOTAL—Write this amount in question 61 and/or 73:

\$ _____ .00

\$ _____ .00

Do not include:

- Social Security
- Any income reported elsewhere on the form
- Money from student financial aid
- Food stamps
- "Rollover" pensions
- JTPA benefits
- Gifts and support, other than money, received from friends or relatives
- Veterans educational benefits (GI Bill, Dependents Education Assistance Program, VA Vocational Rehabilitation Program, VEAP benefits, etc.)
- Payments received from states for foster care and adoption assistance, under title IV-A or IV-E of the Social Security Act
- Contributions to, or payments from, flexible spending arrangements (e.g., cafeteria plans)

WORKSHEET #3 (Keep this worksheet. Your college may ask to see it.)**For question 62
Student/Spouse****For question 74
Parent(s)**

(Note: On this worksheet, use amounts for the **calendar year from January 1, 1997 to December 31, 1997**, rather than amounts for the school year.)

- | | | |
|---|---------------------|---------------------|
| 1. Grant and scholarship aid in excess of tuition, fees, books, and required supplies that you reported or will report on your 1997 income tax return (FAFSA questions 53 and/or 65) and/or as your earned income (FAFSA questions 55–56 and/or 67–68). | \$ _____ .00 | \$ _____ .00 |
| 2. Taxable earnings from Federal Work-Study or other need-based work programs. | + _____ .00 | + _____ .00 |
| 3. Allowances and benefits received under the National and Community Service Trust Act of 1993 (AmeriCorps awards). | + _____ .00 | + _____ .00 |
| 4. Child support PAID because of divorce or separation, by student & spouse, or by the parent(s) whose income is reported on this form. (Do not include support for children living in your home.) | + _____ .00 | + _____ .00 |
| 5. TOTAL: Write this amount in question 62 and/or 74: | \$ _____ .00 | \$ _____ .00 |

Information on the Privacy Act and Use of Your Social Security Number

The information that you provide on this form is used to calculate your eligibility to receive federal student financial aid, and the amount of such aid, under the programs listed above. The authority for requiring you to provide this information is contained in section 483 of the Higher Education Act of 1965, as amended.

The information you provide may also be used to calculate your eligibility to receive state and institutional student financial aid, and your financial need for such aid.

If you are applying solely for federal aid, you must answer the following questions; if you do not answer these questions, you will not receive federal aid. These questions are 1-10, 15-17, 19, 32-34, 38-43, 51, 53-62, 102, and 104-105. You must answer either 44-45 or 46-50. In addition, if applicable, you must answer 63, 65-74, 75-81, and 82-89.

If you wish to apply for state aid, do not fill in the oval in question 102 and answer all the questions. If you consent, we will disclose this information to state agencies and institutions you designate to receive this information.

Without your consent we may disclose information you provide to entities under a published "routine use." Under such a routine use, we may disclose information to third parties that we have authorized to

assist us in administering the above programs; to other federal agencies under computer matching programs, such as those with the Social Security Administration, Selective Service System, and Immigration and Naturalization Service; to your parents or spouse upon request; and to members of Congress if you ask them to help you with student aid questions.

If the federal government, the Department, or an employee of the Department is involved in litigation, we may send information to the Department of Justice, or a court or adjudicative body, if the disclosure is related to financial aid and certain other conditions are met. The information may also be made available to federal agencies which have the authority to subpoena other federal agencies' records. In addition, we may send your information to a foreign, federal, state, or local enforcement agency if the information that you submitted indicates a violation or potential violation of law, for which that agency has jurisdiction for investigation or prosecution. Finally, we may send information regarding a claim which is determined to be valid and overdue to a consumer reporting agency. This information includes identifiers from the record, the amount, status and history of the claim and the program under which the claim arose.

We will provide your name, address, Social Security number, date of birth, expected family contribution number, student status, and state of legal residence to the financial aid agency(ies) in your state of legal residence, even if you fill in the oval in question 102. This information will go to the state agencies in your state of legal residence to help coordinate state financial aid programs with federal student aid programs.

Need more information on Federal student aid? You can get more information from *Funding Your Education*, published by the U.S. Department of Education. To get a free copy, write to:

Federal Student Aid Information Center
P.O. Box 84
Washington, DC 20044

The U.S. Department of Education also has a toll-free number to answer questions about Federal student aid programs. This number is **1-800-4-FED AID (1-800-433-3243)**.

If you are hearing-impaired and have a TDD machine, you may call toll-free **TDD 1-800-730-8913**.

***This form cannot be processed after
June 30, 1999.
See pages 1 and 10 for deadlines.***